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BROOKLYN JOURNAL OF CORPORATE, FINANCIAL & COMMERCIAL LAW
SYMPOSIUM
SECURITIES MARKET STRUCTURE AND REGULATION:
WHAT DOES THE FUTURE HOLD
November 10, 2006

Panel I
The Future Shape of the Markets

Roger D. Blanc, Partner, Willkie Farr & Gallagher LLP

Paul Bennett, Chief Economist, New York Stock Exchange, Inc.

Junius W. Peake, Monfort Distinguished Professor Emeritus of Finance, Kenneth W. Monfort College of Business Administration, University of Northern Colorado

Moderator: Norman S. Poser, Professor of Law, Brooklyn Law School

Panel II
The Impact of Market Structure on Regulation
and the Self-Regulatory System

Onnig H. Dombalagian, Associate Professor of Law, Tulane Law School

Eric J. Pan, Assistant Professor of Law and Director of The Samuel and Ronnie Heyman Center on Corporate Governance, Benjamin N. Cardozo School of Law

Roberta S. Karmel, Centennial Professor of Law, Brooklyn Law School

Moderator: James A. Fanto, Professor of Law, Brooklyn Law School

Luncheon and Keynote Address

Annette L. Nazareth, Commissioner, U.S. Securities and Exchange Commission

Panel III
The Respective Roles of Government and Competition in
Shaping and Developing the Markets

Daniel M. Gray, Senior Special Counsel for Market Structure, Division of Market Regulation, U.S. Securities and Exchange Commission

Allen Ferrell, Harvey Greenfield Professor of Securities Law, Harvard Law School

Lanny A. Schwartz, Counsel, Davis Polk & Wardwell; formerly Executive Vice President and General Counsel, Philadelphia Stock Exchange

Moderator: Roberta Karmel, Centennial Professor of Law, Brooklyn Law School