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New Models for Securities Law Enforcement:

Outsourcing, Compelled Cooperation, and

Gatekeepers

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SYMPOSIUM NEW MODELS FOR SECURITIES LAW ENFORCEMENT: OUTSOURCING, COMPELLED COOPERATION AND GATEKEEPERS

March 31, 2006

Keynote Address

Richard G. Ketchum, Chief Regulatory Officer, New York Stock Exchange

Panel I Self-Regulation

Donna M. Nagy, Professor of Law, Indiana University

W. Hardy Callcott, Partner, Bingham McCutchen L.L.P.

Moderator: Roberta S. Karmel, Professor of Law, Brooklyn Law School

Panel II Remedial Techniques

Jennifer O'Hare, Professor of Law, Villanova University School of Law

Leonard Orland, Professor of Law, University of Connecticut School of Law

Commentator: John Coffee, Professor of Law, Columbia Law School

Moderator: Edward J. Janger, Professor of Law, Brooklyn Law School

Luncheon Address

Linda Chatman Thomsen, Director of Division of Enforcement, U.S. Securities and Exchange Commission

Panel III Gatekeepers

Alan R. Palmiter, Professor of Law, Wake Forest University School of Law

Howell Jackson, Professor of Law, Harvard Law School

Arthur Laby, Associate Professor of Law, Rutgers School of Law

Commentator: James A. Fanto, Professor of Law, Brooklyn Law School

Moderator: Laurence Storch, Senior Counsel, Fulbright & Jaworski L.L.P.